**ANNEX 5-I/1**

**CONFIRMATION OF VERIFICATION OF IDENTITY**

**PRIVATE INDIVIDUAL**

***INTRODUCTION BY AN FSA-REGULATED FIRM***

1. **DETAILS OF INDIVIDUAL (see explanatory notes below)**

|  |  |
| --- | --- |
| **Full name of Customer** |  |

|  |  |  |
| --- | --- | --- |
| **Current Address** |  | Previous address if individual has changed address in the last three months |

|  |  |
| --- | --- |
| **Date of Birth** |  |

1. **CONFIRMATION**

**I/we confirm that**

1. **The information in section 1 above was obtained by me/us in relation to the customer;**
2. **The evidence I/we have obtained to verify the identity of the customer: [tick only one]**

|  |  |
| --- | --- |
| **Meets the guidance for standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or** |  |
| **Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation).** |  |

1. **Based on the evidence I/we have obtained to verify the identity of the customer we have:**

|  |  |
| --- | --- |
| **No reason to believe that the tax residency self- certification requires any follow up action** |  |
| **Reason to believe that the tax residency self-certification requires follow up action** |  |

**If follow up action is required, please indicate why**

**………………………………………………………………………………………………………………………………**

|  |  |
| --- | --- |
| **Signed:** |  |
| **Name:** |  |
| **Position:** |  |
| **Date:** |  |

1. **DETAILS OF INTRODUCING FIRM (OR SOLE TRADER)**

|  |  |
| --- | --- |
| **Full Name of Regulated Firm (or Sole Trader):** |  |

**Explanatory notes**

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:

* Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
* Those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
* Those whose identity has been verified using the source of funds as evidence.